U.S. Patent Appln. Serial No. 10/554,288 Amendment dated 12 August 2009 Reply to Office Action dated 13 February 2009

REMARKS

Amendments

Claims 1, 16 and 34 have currently been amended. Claims 1-11, 14-16 and 28-34 are currently pending in the present application.

The methods of claims 1, 16 and 34 have been amended for the sake of improved clarity. Specifically, these claims have been amended to indicate that the step of removing particulate material includes using a single step of microfiltration with a cutoff of 0.2 μ m to filter out particulate material having a particle size of greater than about 0.2 μ m from the extract to produce a purified extract comprising β (1-3) β (1-4) glucan having a particle size of equal to or less than 0.2 μ m as a filtrate. Support for this amendment is provided, for example, at page 17, lines 9-11 and 18-20 of the description.

It is submitted that these amendments do not constitute new matter, and their entry is requested.

Rejection Under 35 U.S.C. § 103(a)

The Examiner has rejected claims 1-6, 9, 11, 14-16, 29-32 and 34 under 35 U.S.C. § 103(a) as being unpatentable over Bhatty (U.S. 5,518,710) in view of Potter *et al.* (U.S. 6,323,338) and Jamas *et al.* (U.S. 5,622,939). Applicant respectfully traverses the Examiner's rejection for the reasons set forth below.

Bhatty discloses a method that includes removal of degraded starches from a β glucan extract using centrifugation, dialysis or filtration (column 3, lines 63-65). The method of Bhatty would not, however, result in the isolation of β (1-3) β (1-4) glucan having a particle size of less than 0.2 μ m, but rather a composition that comprises β (1-3) β (1-4) glucans having a *broader* range of particle sizes.

Potter et al. teaches a step of concentrating an intermediate solution from which insoluble material and flocculate have been previously removed by means of centriguation, dialysis, filtration, or passage through a mesh or cloth (see column 5, lines 19-65). The step of concentrating is conducted using ultrafiltration with a membrane having a cutoff size of 0.2 µm

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to collect a *retentate* comprising β (1-3) β (1-4) glucans having particle sizes of *greater* than 0.2 μ m. (see column 6, lines 26-32 and FIG. 2). Potter *et al.*, therefore, teaches the use of ultrafiltration for concentrating the intermediate solution rather than fractionating that solution into a composition comprising β (1-3) β (1-4) glucan having a particle size of equal to or less than 0.2 μ m.

Jamas et al. discloses a process for producing an aqueous soluble B (1-3) glucan in triple helix conformation, which includes a step of purifying denatured protein to remove aqueous insoluble glucan and aggregated aqueous soluble glucans by ultrafiltration with a 1000 to 100,000 MW cutoff and a step of purifying re-annealed soluble glucan by size fractionation using separate steps of ultrafiltration with a 30,000 to 100,000 MW cutoff and a 150,000 to 500,000 MW cutoff to remove high and low molecular weight soluble glucans selectively. The Examiner is referred to www.millipore.com/immunodetection/id3/concentration for an illustration of the molecular weight cutoffs associated with ultrafiltration membranes having pore sizes of between 0.001 µm and 0.1 µm. Jamas et al. also indicates that the final glucan solution can be sterilized by flitration through a 0.22 µm filter, however, as this filtration step is conducted after the fractionation steps described above it would not result in any fractionation of the glucan material. Jamas et al. does not teach or suggest the fractionation of a beta glucan solution using a single step of filtration through a 0.22 µm filter. Jamas et al. therefore teaches a method that involves using multiple ultrafiltration steps to produce a glucan solution having a narrower range of particle sizes than that of the β (1-3) β (1-4) glucan produced by the presently claimed method.

The cited references do not teach or suggest the presently claimed method, which includes using a single step of microfiltration with a cutoff of 0.2 μ m to filter out particulate material having a particle size of greater than about 0.2 μ m from an extract to produce a purified extract comprising β (1-3) β (1-4) glucan having a particle size of equal to or less than 0.2 μ m as a filtrate. Thus, Applicants submit that the combination of Bhatty, Potter et al. and Jamas et al. does not render the claimed subject matter obvious.

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In view of the above amendments and remarks, Applicants submit that the claimed subject matter is not obvious from the combination of Bhatty, Potter et al. and Jamas et al. Withdrawal of this rejection is requested.

Rejection Under 35 U.S.C. § 103(a)

The Examiner has also rejected claims 1-9, 11, 14-16, 29-32 and 34 under 35 U.S.C. § 103(a) as being unpatentable over Bhatty in view of Potter *et al.* and Jamas *et al.* as applied to claims 1-6, 9, 11, 14-16, 29-32 and 34 above, and further in view of Puski *et al.* (U.S. 4,830,861). Applicant respectfully traverses the Examiner's rejection for the reasons set forth below.

As detailed above, the cited references do not teach or suggest the presently claimed method, which includes using a single step of microfiltration with a cutoff of $0.2 \, \mu m$ to filter out particulate material having a particle size of greater than about $0.2 \, \mu m$ from an extract to produce a purified extract comprising β (1-3) β (1-4) glucan having a particle size of equal to or less than $0.2 \, \mu m$ as a filtrate. Puski et al. does not cure the deficiencies of Bhatty, Potter et al. and Jamas et al. Thus, Applicants submit that the combination of Bhatty, Potter et al. Jamas et al. and Puski et al. does not render the claimed subject matter obvious.

In view of the above amendments and remarks, Applicants submit that the claimed subject matter is not obvious from the combination of Bhatty, Potter et al., Jamas et al. and Puski et al. Withdrawal of this rejection is requested.

Rejection Under 35 U.S.C. § 103(a)

The Examiner has rejected claims 1-6, 9-11, 14-16, 29-32 and 34 under 35 U.S.C. § 103(a) as being unpatentable over Bhatty in view of Potter *et al.* and Jamas *et al.* as applied to claims 1-6, 9, 11, 14-16, 29-32 and 34 above, and further in view of Novozymes (June 1, 2002, novozymes.com). Applicant respectfully traverses the Examiner's rejection for the reasons set forth below.

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As detailed above, the cited references do not teach or suggest the presently claimed method, which includes using a single step of microfiltration with a cutoff of 0.2 μ m to filter out particulate material having a particle size of greater than about 0.2 μ m from an extract to produce a purified extract comprising β (1-3) β (1-4) glucan having a particle size of equal to or less than 0.2 μ m as a filtrate. Thus, Applicants submit that the combination of Bhatty and Potter et al. and Jamas et al. does not render the claimed subject matter obvious. Novozymes does not cure the deficiencies of Bhatty, Potter et al. and Jamas et al. Thus, Applicants submit that the combination of Bhatty, Potter et al., Jamas et al. and Novozymes does not render the claimed subject matter obvious.

In view of the above amendments and remarks, Applicants submit that the claimed subject matter is not obvious from the combination of Bhatty, Potter et al., Jamas et al. and Novozymes. Withdrawal of this rejection is requested.

Rejection Under 35 U.S.C. § 103(a)

The Examiner has also rejected claims 1-6, 9-11, 14-16 and 28-34 under 35 U.S.C. § 103(a) as being unpatentable over Bhatty in view of Potter *et al.* and Jamas *et al.* as applied to claims 1-6, 9-11, 14-16, 29-32 and 34 above, and further in view of Morgan (WO 2001/057092). Applicant respectfully traverses the Examiner's rejection for the reasons set forth below.

As detailed above, the cited references do not teach or suggest the presently claimed method, which includes using a single step of microfiltration with a cutoff of $0.2~\mu m$ to filter out particulate material having a particle size of greater than about $0.2~\mu m$ from an extract to produce a purified extract comprising β (1-3) β (1-4) glucan having a particle size of equal to or less than $0.2~\mu m$ as a filtrate. Morgan does not cure the deficiencies of Bhatty, Potter et al. and Jamas et al. Thus, Applicants submit that the combination of Bhatty, Potter et al., Jamas et al. and Morgan does not render the claimed subject matter obvious.

In view of the above amendments and remarks, Applicants submit that the claimed subject matter is not obvious from the combination of Bhatty, Potter et al., Jamas et al. and Morgan. Withdrawal of this rejection is requested. U.S. Patent Appln. Serial No. 10/554,288 Amendment dated 12 August 2009 Reply to Office Action dated 13 February 2009

Conclusion

In view of the above amendments and remarks, Applicants believe that the present claims satisfy the provisions of the patent statutes and are patentable over the cited prior art. Reconsideration of the application and early notice of allowance are requested. The Examiner is invited to telephone the undersigned to expedite the prosecution of the application.

Respectfully submitted, ROTHWELL, FIGG, ERNST & MANBECK, p.c.

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